

1047

Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice

Standard Chartered Bank (Hong Kong) Limited

Name of Transferee

HO KAM WAI

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Court Claim # (if known): 38311

Amount of Claim: USD 64,232

Date Claim Filed: 13 OCT 2009

Phone: (852) 9093 1820

Last Four Digits of Acct. #: 7836

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

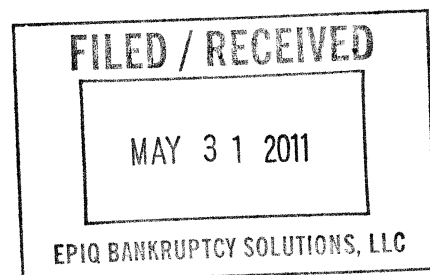
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 17 May 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

Credit Suisse AG, Hong Kong Branch  
Name of Transferor

Name and Address where notices  
to transferee should be sent:

21/F, Standard Chartered Tower,  
388 Queen Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Court Claim # (if known): 55828  
Date Claim Filed: 29-Oct-2009  
Amount of Claim: HKD 1,000,000  
Portion of Claim Transferred (see  
Schedule I): HKD 1,000,000

Phone: 65 6306 8006  
Last Four Digits of Acct. #: -

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 25-MAY-2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT

Track this shipment: <http://www.dhl.com>Shipment Waybill  
(Non negotiable)

3 5 2 6 4 5 8 6 3 3

ORIGIN  
HKGDESTINATION CODE  
270

## 1 Payer account number and insurance details

Charge to ☐ Shipper ☐ Receiver ☐ 3rd party ☐ Cash☐ Cheque ☐ Credit Card

Payer Account No.

Not all payment options are available in all countries. CC reference code

Shipment Insurance

See reverse

☐ Yes ☐ No

## 2 From (Shipper)

Shipper's account number

630033085

Contact name

TANG HO TAK

Shipper's reference (up to 32 characters - first 12 will be shown on invoice)

1531 800 238

Company name

STANDARD CHARTERED BANK (HK) LTD

Address

CORRESPONDENCE SECTION - 15/F  
STANDARD CHARTERED TOWER 388  
KUN TONG RD KLN HONG KONG

Postcode/Zip Code (required)

Phone, Fax or E-mail (required)  
2282-5735

## 3 To (Receiver)

TEB1 CLAIMS PROCESSING CENTER  
c/o EPIQ Bankruptcy Solution, LLC  
757 Third Avenue, 3rd Floor  
New York, NY 10017

Postcode/Zip Code (required)

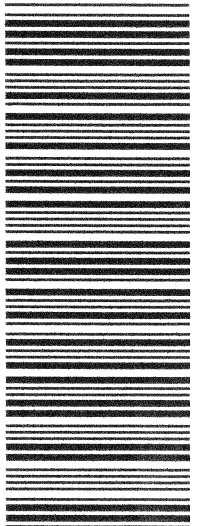
Country

NY 10017

USA

Contact person

Phone, Fax or E-mail (required)



## 4 Shipment details

Billed weight is calculated from total weight and dimensions

Total number of packages

Total Weight

Pieces

Dimensions in cm

Length

Width

Height

Weight

MAY 31 2011

EPIQ BANKRUPTCY SOLUTIONS, LLC

## 6 Non-Document (Signature required)

Attach the original and two copies of a Proforma or Commercial Invoice

Shipper's VAT/GST number

Receiver's VAT/GST or Shipper's EIN/SSN

Declared Value for Customs (as on commercial/proforma invoice)

Harmonised Commodity Code if applicable

Government Export Number (where legally required)

TYPE OF EXPORT

Permanent ☐ Repair/Return ☐ Temporary

Destination duties/taxes if left blank receiver pays duties/taxes

Receiver ☐ Shipper ☐ Other

Specify approved account number

## 7 Shipper's agreement (Signature required)

Unless otherwise agreed in writing, I/we agree that DHL's Terms and Conditions of Carriage are all the terms of the contract between me/us and DHL and (1) such Terms and Conditions and, where applicable, the Warsaw Convention limits and/or excludes DHL's liability for loss, damage or delay and (2) this shipment does not contain cash or dangerous goods (see reverse)

Signature

Date

PS03/10 HKGP3

## 8 Products &amp; Services

Domestic ☐ International Document ☒

Products that are available to and from all locations

EXPRESS 900

EXPRESS 1030

EXPRESS 1200

EXPRESS WORLDWIDE

ECONOMY SELECT

EXPRESS ENVELOPE

OTHER

Optional Services (extra charges may apply)

Saturday Delivery ☐ Non-standard Pickup ☐Delivery Notification ☐ Payable Packaging ☐Non-standard Shipment ☐ Other ☐

Global Business

Priority ☐ Standard ☐ Other ☐

DIMENSIONAL/CHARGEABLE WEIGHT

kg

g

CHARGES

Insurance

VAT

CURRENCY

TOTAL

Picked up by

Route No.

Time

Date

Receiver's / Parcel copy